IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Docket No. P02975

Brubaker, et al. Serial No.: Not Yet Assigned

Filed: January 23, 2002

For: Improved Process for the Production of Sustained Release Drug Delivery Devices



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited in the United States Postal Service as first class mail in an envelope addressed to: US Patent & Trademark Office, PO Box 2327, Arlington, VA 22202, on January 23,2002.

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Laura A. Galletto

INFORMATION DISCLOSURE STATEMENT

US Patent & Trademark Office PO Box 2327 Arlington, VA 22202

Sir:

Pursuant to the duty of candor and good faith set forth in 37 CFR 1.56(a), Applicant wishes to bring to the attention of the Examiner the references listed on the attached substitute Form 1449. Copies of the references are enclosed in accordance with 37 CFR 1.98(a).

Consideration of this material during the course of examination is respectfully requested.

Filing of this Statement is timely under 37 CFR 1.97(b) without payment of any fee. Accordingly, consideration of this material during the course of examination is respectfully requested. In the event that any fee is required in connection with this submission, the Assistant Commissioner for Patents is hereby authorized to charge Deposit Account No. 02-1425 the requisite fee. A copy of this document is submitted in triplicate.

Respectfully submitted,

Denis A. Polyn Reg. No. 27,152

Bausch & Lomb Incorporated Law Department One Bausch & Lomb Place Rochester, New York 14604-2701

Phone: (585) 338-8417

Dated: January 2, 2002

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

AJ AK AM AN AO AP AQ AR AS Attorney cket No. P02975

Serial No.: Not Yet Assigned Applicant: Brubaker, et al.

Filing Date: January ____, 2002

	REFEREN	ION U.S	U.S. PATENT DOCUMENTS				
Examiner <u>Initial</u>	Document Number	Date	Name	Class	Subclass	Filing D If Appro	
AA AB AC AD AE AF AG AH	3,618,604 4,014,335 5,378,475 5,773,019	11/9/71 3/29/77 1/3/95 6/30/98 5/11/99	Ness Ness Arnold Smith, et al. Ashton, et al. Chen, et al. Ashton, et al.	424	260 260 260 473 423 423	3/2/66 6/9/69 3/25/76 2/21/91 9/27/95 8/28/97 4/14/98	10/055584 10/055584

FOREIGN PATENT DOCUMENTS

Document				Translation			
 Number	Date	Country	Class Subclass	Yes	No		
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AU							
AV							
AW							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BA Controlled Drug Delivery (Part I, Xue Shen Wu, PhD, pp. 32, 33, 44-46, 63, 66 & 67) Technomic Publishing Co., Inc., 1996
BB
BC

Examiner	Date Considered	
DYGUITHET	<u>Date Considered</u>	